SAMPLE CRITERIA AND EXAMPLES OF DOCUMENTATION

The Office of Academic Affairs recognizes the need for promotion and tenure criteria to be specific to the College and Tenure Initiating Unit. Building a dossier that demonstrates a candidate meets the criteria is the responsibility of the candidate. Below are <u>examples</u> of expectations that may meet the criteria for a unit and <u>examples of evidence</u> that may document outcomes that demonstrate the expectations. Neither the examples of expectations, nor examples of evidence/documentation listed below are required and should not be adopted in totality. They are meant to assist units in writing their APT documents. Each unit must consider the criteria for success in their units. Since the TIU's recommendations for or against promotion are evaluated by the College and University, it is important that the TIU's APT document should specify appropriate expectations for meeting the criteria and types of evidence that document meeting these expectations. The documentation of excellence should be based upon an individual's assigned work. The Office of Academic Affairs recognizes that documentation of excellence may vary by individual due to the assigned work. This is a living document and suggestions for changes, additions and clarifications may be submitted at any time to Vice Provost for Academic Policy and Faculty Resources.

TEACHING	
Examples of Expectations	Examples of Evidence/Documentation
Developed new and effective instructional techniques and materials appropriate for the objectives and level of the course Demonstrated continuing growth in subject matter knowledge	 Changes to or development of syllabi, examinations, laboratory exercises, case studies, field trip agenda, problem sets, computer software demonstrate up-to-date thought on subject content Summary of class comments demonstrate instructional content up-to-date Experts in field evaluate and determine syllabi, class evaluation items and class materials up-to-date and appropriate for topic and audience External faculty expert reviews course materials (syllabus, assignments, examinations, sample class information) and evaluates meeting contemporary expectations for topic Attended continuing education on topic or focus area and adopted new materials in class Awarding of "Endorsement" from University Institute of Teaching and Learning

Demonstrated the ability to organize and present class material	Cumulative eSEI reports (Student Evaluation of Instruction computer-
effectively with logic, conviction, and enthusiasm	generated summaries prepared by the Office of the University Registrar) ¹ for every class
	 eSEI items 1, 3, 5, 6, 8, 9 scores equal or greater than average for department or college or goal score determined by TIU, i.e. greater than 4.0 eSEI items 1, 3, 5, 6, 8, 9 scores demonstrate positive trajectory during review period eSEI item 10 scores equal or greater than average for department or college or goal score determined by TIU, i.e. greater than 4.0 Positive peer evaluation documenting these areas demonstrate positive trajectory during review period Positive evaluations from Continuing Education Programs, both internal and external to the University
Demonstrated creativity in the use of various modes of instruction, classroom or online technology, and other teaching strategies to create an optimal learning environment	 Use of multimodal techniques or approaches to stimulate class participation and learning – discuss in teaching narrative Peer evaluation descriptions positive on mode of instruction eSEI items 2, 8, 9 scores equal or greater than average for department or college or goal score determined by TIU, i.e. greater than 4.0 eSEI items 2, 8, 9 scores demonstrate positive trajectory during review period
Engaged students actively in the learning process and encouraged independent thought, creativity, critical thinking, and appreciation of the knowledge creation process	 eSEI items 2, 4, 8 scores equal or greater than average for department or college or goal score determined by TIU, i.e. greater than 4.0 eSEI items 2, 4, 8 scores demonstrate positive trajectory during review period Exit questionnaires for graduating students demonstrate positive contributions Advising questionnaires demonstrate positive contributions STEP Advisor – demonstrated positive student outcomes
Disseminated intellectual contributions related to teaching	Copies of pedagogical papers, books or other materials published, or accepted for publication. Material accepted for publication but not yet published must be accompanied by a

	letter from the publisher stating that the work has been unequivocally accepted and is in final form with no further revisions needed.
	 Peer-evaluated scholarly publications designed primarily to communicate with other educators, e.g., journal articles on curricula, course innovations and student placement
	Textbooks authored or edited, textbook chapters, laboratory
	exercises and other instructional materials developed. Scope
	and distribution of each item should be included.
Developed the ability to attain the educational benefits of students'	Summaries of the following demonstrating positive outcomes:
diversity in the classroom	Summative and non-summative evaluation/feedback from
	students/residents
	eSEI including summary of comments
	Faculty peer teaching reviews
Demonstrated excellence in outreach education	 Demonstration of an understanding of the needs of outreach learners
	 Demonstrate the development of effective teaching materials and programs as measured by outcomes and adoption of the materials or programs
	Demonstration of creativity in subject matter development,
	methods of presentation and the incorporation of new ideas
	 Positive evaluations of presentations provided through outreach education
	 Documentation of evidence-based presentations meeting the needs of the learners
Provided appropriate and timely feedback to students/residents	Positive qualitative student/resident comments
throughout the instructional process	Feedback on Carmen/Canvas sites
Treated students/residents with respect and courtesy	Positive qualitative student/resident comments
	Positive peer evaluations
	Exit interview summaries demonstrating respect and courtesy
Improved curriculum through revision or new development of	Documentation of involvement and specific outcomes in
courses and/or academic programs	curriculum development
	Leadership in development of the curriculum and courses
	which goes beyond normal teaching and service expectations

Served as advisor to an appropriate number of graduate students given the department's graduate student/faculty ratio and the faculty member's area(s) of expertise	Teaching activities as listed in the core dossier including:
Served as research mentor to undergraduate students	 mentoring of undergraduate research students promoting student participation in research presentations (e.g., Denman) serving as mentor or committee member for honors research theses
Engaged in documentable efforts to improve teaching	 Teaching portfolio demonstrating teaching outcomes after efforts to improve Annual evaluations – setting goals, document activities in which faculty member participated, changes made to teaching, and outcomes of the change (improvement in student success as demonstrated by higher board scores or other documentation, eSEI improvements, etc) Maintenance and development of professional competence and growth through participation in workshops, study leaves, learning communities, courses, industry or government visits, interaction with practitioners and self-study should be documented in writing, including when each activity occurred, and professional growth accrued. Awarding of "Endorsement" by the Institute for Teaching and Learning.
Demonstrated exceptional teaching outcomes	 Awards and formal recognition of teaching Presentations on pedagogy and teaching at national and international conferences Adoption of teaching materials at other colleges or universities eSEI – Item 10 scores equal to or greater than average for department or college or above 4.0 National and international reputation for teaching – contribution to professional area in teaching. Use, development, and support of information technologies in teaching

	 Exceptional Grand Round evaluations
	 Exceptional professional meeting presentation evaluations
	 Exceptional extension program evaluations
Demonstrated outstanding creation of digital media and/or digital	 Creation of images, Web sites, digital tools and software for
editions or textbooks	teaching
	 Demonstrate adoption, distribution, or publication of digital works
	 Awards and/or adoption of the above items by multiple sites
	Recognition by external reviewers
	 Lead in the development of faculty team-based projects
	Technological innovations that permitted persons with
	disabilities to utilize digital media
	Engagement of new audiences
Demonstrated exceptional community-engaged teaching	Terms that may be used: service-learning, community-based education, practice-based teaching, experiential or active learning, internships, practicum
	 Excellence: Service-learning contributions: Relate to the faculty member's area of scholarship Responsive to a recognized need on-campus or in the community and have significant and lasting impact Carried out in partnership with the community being served Demonstrates that students have provided a needed service to members of the community at large, rather than an exclusionary group Methodology used provides a way for students to process and synthesize the impact of the service-learning experiences on their understanding of the subject matter of the class Demonstrates that students broadened understanding of civic involvement, even though students may also focus on career preparation Awards received for service related to engagement in teaching Dissemination of outcomes (presentations, articles, etc)

Demonstrated a positive relationship between citizenship and	Mentorship to junior faculty
teaching	Active involvement in teaching evaluation
	 Counseling and directing of graduate and undergraduate students, postdocs, and residents in career development
Documented outstanding graduate teaching	 Exit questionnaires demonstrate outstanding graduate teaching Graduate students participate in programs outside the university Graduate students earn awards Graduate student placement in academic and non-academic settings post-graduation

^{1.} eSEI or other teaching evaluations such as trainee evaluations, Med-Star or department/college specific evaluation forms

SCHOLARSHIP	
Examples of Expectations	Examples of Evidence/Documentation
Demonstrated thematically focused research/scholarship/creative outcomes that contributes to knowledge in area of expertise and relationship to his/her scholarly agenda, unit mission and societal needs	 A body of work in peer reviewed journals, or other journals consistent with the standards of the appropriate unit, and/or conferences of high quality that clearly demonstrates creation of an independent research/scholarship/creative program over time, and contributes substantively to knowledge/outcomes in the area of focus. Publications demonstrate research/scholarship/creative focus. Complete publication record including archival journal papers, conference papers and posters (both refereed and otherwise), monographs, books, book chapters, textbooks based on scholarship, magazine articles and online publications, patents and invention disclosures. Refereed conference publications considering the conferences involved are recognized as refereed, highly selective, and of high quality. The visibility of the conference as a focal point for research in the area should be clearly established. Sustained grants and contracts including foundations, federal agencies, major industry, or private sector – may be as Primary Investigator or Co-Investigator with documented focused contribution on multiple grants or projects Leadership in conducting and reporting outcomes of clinical trials White papers that can be shown to have influenced policy or practice Creative works pertinent to the candidate's professional focus including artwork, choreography, collections, compositions, curated exhibits, moving images, multimedia, performances, radio, recitals, recordings, television, and websites

	 Outcome indicators, between faculty expertise and project objectives/constituent needs Creation of digital media, software, patents, and fact sheets – related to outcomes Description of significant outreach activities in which the faculty member played a major role, with qualitative indicators to evaluate the excellence of each activity
Demonstrated high quality scholarship/research of teaching	 Scholarship of teaching including pedagogical papers, textbooks, monographs and compilations of essential education resources, including online teaching resources. Scholarship of teaching may also include the creation of digital, simulation or other learning tools
Demonstrated high quality scholarship/research of extension	 Substantial body of focused, high quality research/scholarly/creative work that is disseminated appropriately and evaluated to have had impact on the field by internal and external evaluators Evidence of work in progress A body of work published and/or presented in high quality peer reviewed venues (books, journals, scholarly conferences, etc.) that is thematically focused, contributes substantively to knowledge in the area of focus, and cited or otherwise show evidence of influence on the work of others Rigorous peer review process and degree of dissemination of publication and/or presentation venues Archival journal publications and monographs, conference proceedings Internally peer reviewed OSU Extension publications for non-campus-based faculty Collaborative scholarship with defined intellectual contribution to multiple projects Obtain and sustain program funding from grants and contracts Awarded competitive peer reviewed funding awarded and outcomes from funding provide impact

	 External reviewers note a reputation based on the quality of outcomes in area of specialization County and non-campus based faculty have statewide and regional reputation based on impact of specialization outcomes Campus-based faculty have national and/or international reputation
Demonstrated successful entrepreneurship	 Patents and licenses of invention disclosures, software development, and materials transfers Technology commercialization Formation of startup companies Licensing and options agreements Consulting work with industry and other external partners
Demonstrated influence on the work of others	 Outcome indicators of activity to external audience, to the profession/discipline and to the public good that indicate impact and importance in the candidate's field (and any other fields/communities where one engages) Development of program materials, exhibits, shows, and concerts, technical reports Invited webinars, presentations, scholars visiting to document, observe, or model your work Significant portion of the publications authored by the candidate with his or her own graduate students and other collaborators. Collaborative team-based research demonstrating the candidate's contributions to collaborative publications, and recognizable as a unique and creative contribution to the overall body of work External reviewer positive comments Citations
Demonstrated high quality research/scholarship/print or digital scholarship/creative outcomes	 Publication in peer reviewed books, journals, and monographs Journal ranking, citation index, H-index, impact on field Primary journal of faculty member's discipline Engagement/outreach: scholarly recognition including peer reviews of the activity and its results

	 Creation of digital media, digital humanities projects, software patents, and fact sheets Grants and contracts designed to develop and deliver outreach innovations Documentation of inventions, patents, disclosures, options and commercial licenses List of prizes and awards for research, scholarly, outreach, or creative work External reviewer positive comments
Demonstrated impact of research, scholarship or engagement	 Documentation that individuals from outside the state or nation have sought candidate and want to study the outreach provider's work and innovations Significance of problem addressed: how serious was the problem or need; what social, economic or cultural consequences could have resulted from not addressing the problem or need Citations in other works Documentation of inventions, patents, disclosures, options and commercial licenses Evidence of intellectual property such as copyrighted materials, software, multimedia presentations Materials transfer agreements suggest recognition of one's work and can be one component of national/international impact
Provided ongoing quantity of research/scholarship outcomes	Sufficient productivity over time according to norms in the field and responsibility assignments
Demonstrated unique contribution to a line of inquiry	 Creative works pertinent to the candidate's professional focus. including artwork, choreography, collections, compositions, curated exhibits, moving images, multimedia, performances, radio, recitals, recordings, television, interviews, and websites External peer reviewers comment that the faculty member has made a substantial contribution to the discipline or profession in an area and the extent to which that person has been recognized by other scholars, public policy makers and/or practitioners

	 Documentation of consistent contribution demonstrating expertise to multiple scholarly, research or engagement outcomes Scale of the problem: what are the size, trends, future directions and geographic distribution of the problem Narrative describing the activity, the reasons why it was undertaken, the faculty member's intellectual contribution and leadership role, and how the activity contributed to his/her scholarly advancement Evidence of uniquely creative approaches to framing research questions, with unique cultural or demographic impact of the work in publications or grant proposals
Demonstrated rigor of peer-review process and degree of dissemination of publication venues.	 Documentation of inventions, patents, disclosures, options and commercial licenses Publications in high impact journals, conferences, etc. Documentation of the quality of the dissemination
Demonstrated the candidate's ability to conduct work and to mentor future scholars	 Candidate advising a group of graduate students at varying stages of progress in their own development as apprentice researchers Evidence of support for undergraduate, graduate, and professional students including, but not limited to, financial, grants, and positive mentoring reviews Undergraduate, graduate, and professional students and residents' advisee awards Student positions post-graduation Student success related to mentored work (productivity, dissemination, awards, scholarships, grants) Evidence of recruiting and mentoring of diverse student backgrounds, particularly women and people of color
Demonstrated participation in high quality collaborative work	 Scholarship, research, engagement, clinical outcomes that define a continued contribution showing expertise and creativity needed for the success of the project/study Narrative describing the activity, intent, anticipated outcomes, the faculty member's intellectual contribution and leadership role, and how the activity contributed to his/her scholarly/creative, clinical advancement

	 Evaluations by other members of collaborative teams, including students and staff
Demonstrated ability to obtain and potential to sustain research and scholarly program funding.	 Competitive peer-reviewed research funding – national or international grants from funding agencies including government agencies and private foundations, and associations that require outcomes Grants and contracts designed to develop and deliver outreach or engagement innovations Documented contribution to the collaboration of research outcomes as a member of a team or interdisciplinary cluster Unique thematic focus (expertise) consistently provided to the scientific outcomes of the scholarship Defined pattern of contribution to interdisciplinary cluster
Developed national/international reputation in the candidate's field	 Recognition by external reviewers that the faculty member has made a substantial contribution to the discipline or profession and the extent to which that person has been recognized by other scholars, public policy makers and/or practitioners External evaluations, invitations to present at recognized prestigious forums, invitations to review research papers and grant proposals, and a beginning trend of positive citations in other researchers' publications
Demonstrated a high degree of professional ethics	 High degree of ethical conduct of research including, but not limited to, full and timely adherence to all regulations relevant to the research program, and ethical treatment of undergraduate, graduate and professional students, residents, postdoctoral fellows, and collaborators Contributes to a positive and compelling working environment, particularly one that welcomes diversity in faculty, staff and students
Participated in high quality engaged scholarship/research	 The match, including outcome indicators, between faculty expertise and project objectives/constituent needs Scholarly recognition including peer reviews of the activity and its results

•	Evaluative statements from peers or clients related to the specific ways in which the faculty member's scholarly contribution to a program, project, or product proved essential to its success and resulted in identifiable results that contributed to the public good. Recognition by peer reviewers that the faculty member has made a substantial contribution to the discipline or profession and the extent to which that person has been recognized by other scholars, public policy makers and/or practitioners Significance of problem addressed: how serious was the problem or need; what social, economic or cultural consequences could have resulted from not addressing the problem or need Scale of the problem: what are the size, trends, future directions and geographic distribution of the problem Description of significant outreach activities in which the
scholarly agenda, unit mission and societal needs	faculty member played a major role, with qualitative indicators to evaluate the excellence of each activity

SERVICE		
Examples of Expectations	Examples of Evidence/Documentation	
Demonstrated excellence in service to the Department	 Document contributions and quality indicators of the outcomes of the contributions Recognition (awards and prizes) for service to department Annual evaluations document excellence in service to department 	
Demonstrated excellence in service to the College	 Document contributions and quality indicators of the outcomes of the contributions Awards and prizes for service to College Annual evaluations document excellence in service to college 	
Demonstrated excellence in service to the University	 Administrative responsibilities including: the direction/coordination of programs or offices, admission, participation in special studies or projection, collection development, care and use; grants received in support of the institution Appointed and elected university, college, and department ad hoc or standing committees, councils, task forces, and boards Document contributions and quality indicators of the outcomes of the contributions, including roles in any major reports issued, policy changes recommended and implemented, and administrative units restructured. Document service that advances the University's commitment to diversity and inclusion Awards and prizes for service to University 	
Demonstrated excellence in service to the students of Ohio State	Advising student clubs, College Honors Committee, or other organizations; serving on advisory and as outside representative on examination committees of graduate students, and serving on university student committees (e.g., Judicial and Academic misconduct)	

Demonstrated significant service to a profession or field Development and advancement of the interdisciplinary cluster	 Document contributions and quality indicators of the outcomes of the contributions Involvement with professional journals (journal editorships, reviewer) and professional societies (offices or committees) Professional conference organization Consultation activity with industry, professional discipline education development, other universities, or government Awards and prizes for service to profession Any available documentation (e.g., letters from committee chairs) of the quality of service that enhances the list of service activities in the dossier Evidence of professional expertise to public and private entities as a reviewer for funding proposals, study sections, external examiner, member of panels and commissions, professional consultant to industry, government, and education organization Document contributions and quality indicators of the
Demonstrated high quality clinical services including human and animal clients, with care provide by university faculty members through hospitals laboratories, and clinics	 outcomes of the contributions Document contributions and quality indicators of the clinical outcomes Documentation of high quality patient care and clinical service to hospitals Documentation of high quality service to hospital/healthcare system
Demonstration of high quality administration to the University at any level	 Document contributions and quality indicators of the outcomes of the contributions including positive change
Demonstrated community-engagement	 Documentation of activities and quality indicators within the Community Setting Documentation of unique service to disadvantaged communities.
Demonstrated outstanding creation of print or digital media for a public audience and/or public websites	 Description of underlying creation of images, Web sites, digital tools and software for public

 Narrative describing theoretical underpinnings,
intellectual rigor of work, and considerations in
translating research for a public audience
 Awards and/or adoption of the above items by multiple
sites
 Recognition by external reviewers
 Description of the creation of infrastructure as well as
content and specific contributions by individual
 Lead in the development of faculty team-based projects
and scholarship
 Scholarship demonstrating technological innovations that
permitted persons with disabilities to utilize digital media
 Publications of print or digital works, reviews, citations of
the work in print or digital journals
Exhibitions and conferences